

# IMAGEWARE SYSTEMS INC

## **FORM 8-K** (Current report filing)

Filed 12/30/20 for the Period Ending 12/23/20

Address	13500 EVENING CREEK DR. N. SUITE 550 SAN DIEGO, CA, 92128
Telephone	8586738600
CIK	0000941685
Symbol	IWSY
SIC Code	7372 - Services-Prepackaged Software
Industry	Software
Sector	Technology
Fiscal Year	12/31

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM 8-K

CURRENT REPORT  
Pursuant to Section 13 or 15(d) of the  
Securities Exchange Act of 1934

Date of report (Date of earliest event reported): December 23, 2020

Commission File Number: 00115757

**ImageWare Systems, Inc.**

(Exact name of registrant as specified in its charter.)

Delaware

(State or other jurisdiction of incorporation or organization)

330224167

(IRS Employer Identification No.)

13500 Evening Creek Drive N., Suite 550, San Diego, California 92128

(Address of principal executive offices)

858-673-8600

(Registrant's Telephone number)

Not Applicable

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (17 CFR 230.405) or Rule 12b-2 of the Securities Exchange Act of 1934 (17 CFR 240.12b-2)

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Securities registered pursuant to Section 12(b) of the Act:

<u>Title of each class</u>	<u>Trading Symbol(s)</u>	<u>Name of exchange on which registered</u>
Common Stock, par value \$0.01 per share	IWSY	OTCQB Marketplace

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**Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.**

On December 23, 2020, ImageWare Systems, Inc. (the "Company") and Jonathan Morris, the Company's Chief Financial Officer, agreed by mutual understanding that Mr. Morris' employment as an officer and employee of the Company will terminate effective on December 31, 2020, to allow Mr. Morris to focus on other professional opportunities. Mr. Morris' termination was not due to any disagreements with respect to the Company's operations, policies or practices. Mr. Morris shall also cease to serve as the Company's principal accounting officer and principal financial officer, effective as December 31, 2020. Management is currently in the process of interviewing candidates to replace Mr. Morris, and anticipates making an announcement in the near future regarding Mr. Morris' successor.

Also effective on December 31, 2020, Ben Smeal resigned from his position as a director of the Company. Mr. Smeal's resignation is due to a family matter requiring his full attention at this time, and was not the result of any disagreements with respect to the Company's operations, policies or practices.

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**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**ImageWare Systems, Inc.**

Date: *December 29, 2020*

By: */s/ Kristin Taylor*

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*Name: Kristin Taylor*

*Title: Chief Executive Officer*

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